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03/09/01  
 10397 U.S. PTO

Customer No. 025181

Docket No. TXY-001.01

## COMMISSIONER FOR PATENTS

Box Patent Application  
 Washington, D.C. 20231

10397 U.S. PTO  
 09/03/01

"Express Mail" Mailing Label Number EL 699 592 170 US

Date of Deposit March 9, 2001

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Signature

Robert King

Please Print Name of Person Signing

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): David M. Mayes, Anthony K. Maczure, and Charles W. Von Rosenberg

For: Optical Probes and Methods for Spectral Analysis

Enclosed are:

- ☒ This is a request for filing a ☐ continuation-in-part ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. \_\_\_\_\_ filed on \_\_\_\_\_ entitled \_\_\_\_\_.
- ☒ 35 pages of specification, 6 pages of claims, 1 page of abstract.
- ☒ 11 sheets of drawings.
- ☒ Unexecuted Declaration, Petition and Power of Attorney.
- ☐ An assignment of the invention to \_\_\_\_\_. A recordation form cover sheet (Form PTO 1595) is also enclosed.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☐ Other \_\_\_\_\_

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	////////////////////	
TOTAL CLAIMS	38 - 20	= 18
INDEP. CLAIMS	7 - 3	= 4
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference in Col. 2 is less than zero,  
 enter "0" in Col. 2.

SMALL ENTITY	
RATE	FEE
////////	\$ 355
x 9=	\$
x 40	\$
+135	\$
TOTAL	0

OTHER THAN SMALL ENTITY	
RATE	FEE
////////	\$ 710
x 18=	\$ 324
x 80	\$ 240
+270	\$
TOTAL	1,274

- ☐ Please charge my Deposit Account No. 06-1448 in the amount of \$  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 1,274.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1448.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-1448.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
- ☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recording of assignment documents is also enclosed.
- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to David A. Lane, Jr. at **Customer Number: 025181** whose address is:

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Patent Group  
One Post Office Square  
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Date: March 9, 2001

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